


**FACSIMILE
TRANSMISSION
TO USPTO**

CERTIFICATION OF FACSIMILE TRANSMISSION:

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE
TRANSMITTED TO THE USPTO ON THE DATE SHOWN BELOW:

Date of Transmission: January 28, 2004

Name of Person Making Transmission: Teri McDonald

Signature: 

DOCUMENT(S) FAXED:

PAGES ATTACHED: 8

Re Applic of
Docket No.
Serial No.
Filing Date
Attorney

Kangguo Cheng, et al.

FIS920030155US1

10/604,081

6/25/03

Margaret A. Pepper

RECEIVED
CENTRAL FAX CENTER

JAN 28 2004

OFFICIAL

Attached: Preliminary Amendment and
Response to Restriction Requirement

PLEASE DELIVER TO: Jennifer M. Kennedy

EXAMINER:

ART UNIT:

PHONE NO:


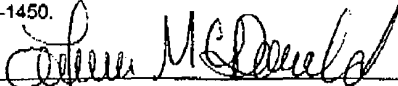
FAX NO: 703-872-9306

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE
INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED,
AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED,
CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER
APPLICABLE LAW. IF THE READER OF THIS MESSAGE
IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE
OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE
TO THE INTENDED RECIPIENT, YOU ARE HEREBY
NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION
OR COPYING OF THIS COMMUNICATION IS STRICTLY
PROHIBITED. IF YOU HAVE RECEIVED THIS
COMMUNICATION IN ERROR, PLEASE NOTIFY US
IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL
MESSAGE TO US AT THE ADDRESS TO THE RIGHT VIA
THE U.S. POSTAL SERVICE.

INTERNATIONAL BUSINESS MACHINES CORPORATION

Intellectual Property Law
East Fishkill Facility
2070 Route 52
Hopewell Junction
New York 12533-6531

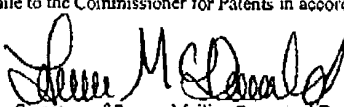
Fax: 845-892-6363
Phone: 845-894-2580

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No.	
Applicant(s): Kangguo Cheng, et al.				FIS920030155US1	
Serial No. 10/604,081	Filing Date 6/25/03	Examiner Jennifer M. Kennedy	Group Art Unit 2812		
Invention: METHOD FOR FORMING BURIED PLATE TRENCH CAPACITOR					
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	22 -	28 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	1 -	2 =	0 x	\$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. 09-0458 in the amount of <input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed. <input type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 MARGARET A. PEPPER ATTORNEY FOR THE APPLICANT REG. NO.: 45,008			Dated: JANUARY 28, 2004		
cc:			<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited January 28, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p> Signature of Person Mailing Correspondence</p><p style="text-align: center;">TERI MCDONALD</p><p style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</p></div>		

RECEIVED
CENTRAL FAX CENTER

JAN 28 2004

OFFICIAL

Date of Transmission: <u>January 28, 2004</u>	
I hereby certify that this paper and fee are being transmitted via facsimile to the Commissioner for Patents in accordance with 37 CFR Sec. 1.6(d) on the date indicated above.	
Teri McDonald Name of Person Transmitting Paper and Fee	 Signature of Person Mailing Paper and Fee

In the United States Patent and Trademark Office**Date:** January 28, 2004**In re Application** Kangguo Cheng et al.
of:**Filed:** June 25, 2003**For:** METHOD FOR FORMING BURIED PLATE OF TRENCH
CAPACITOR**Serial Number:** 10/604,081**Art Unit:** 2812**Examiner:** Jennifer M.
Kennedy**PRELIMINARY AMENDMENT AND
RESPONSE TO RESTRICTION REQUIREMENT**Hon. Commissioner of Patents and Trademarks
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed January 15, 2004, Applicants respectfully request entry of the following amendments and remarks into the file of the above-identified application prior to the examination thereof.

10/604,081

FIS920030155US1

~ 1 ~